

PUBLIC DISCLOSURE COMMISSION 711 CAPITOL WAY RM 206 PO BOX 40908 OLYMPIA WA 98504-0908 (360) 753-1111 TOLL FREE 1-877-601-2828	PDC FORM L-5 <small>(Rev 1/09)</small>	P. 1 LOBBYING BY STATE AND LOCAL GOVERNMENT AGENCIES	
2018-03-29 2554			
Agency or Governmental Entity Name and Address DEPT. OF FINANCIAL INSTITUTIONS PO BOX 41200 OLYMPIA WA 98504-1200	Date prepared 2018-03-29 County THURSTON	Report for calendar quarter ending MAR 2018 Month Year	
PERSONS WHO LOBBIED THIS QUARTER			
Name CATHERINE MELE-HETTER	Job title POLICY DIRECTOR	Annual salary \$103,068.0	% of time spent lobbying during quarter 7.12%
Bill/WAC number General description of lobbying activities or objectives HB LOBBIED REP ANDREW BARKIS - MET WITH REP BARKIS REGARDING DFI SECURITIES FEE 2286 BILL, HB 2286. HB LOBBIED REP JIM WALSH - MET WITH REP WALSH REGARDING THE DFI SECURITIES FEE 2286 BILL, HB 2286. <input type="checkbox"/> Check if person spent more than \$15 of non-public funds in lobbying			
Name CHARLES CLARK	Job title DEPUTY/DIRECTOR OF CONSUMER SERVICES	Annual salary \$129,756.0	% of time spent lobbying during quarter 1.54%
Bill/WAC number General description of lobbying activities or objectives SB TESTIFIED AT HEARING OF SENATE COMMITTEE ON HIGHER EDUCATION REGARDING E2SSB 6029 6029, STUDENT LOAN BILL OF RIGHTS. DFI IS NEUTRAL ON THE BILL. SB ATTENDED HEARING OF THE SENATE COMMITTEE ON WAYS & MEANS REGARDING E2SSB 6029, 6029 STUDENT LOAN BILL OF RIGHTS, AND TESTIFIED NEUTRAL ON THE BILL AND EXPLAINED <input type="checkbox"/> Check if person spent more than \$15 of non-public funds in lobbying			
Name WILLIAM BEATTY	Job title DIRECTOR OF SECURITIES	Annual salary \$120,552.0	% of time spent lobbying during quarter 2.98%
Bill/WAC number General description of lobbying activities or objectives HB LOBBIED REP ANDREW BARKIS - MET WITH REP BARKIS REGARDING DFI SECURITIES FEE 2286 BILL, HB 2286. HB LOBBIED REP JIM WALSH - MET WITH REP WALSH REGARDING THE DFI SECURITIES FEE 2286 BILL, HB 2286. <input type="checkbox"/> Check if person spent more than \$15 of non-public funds in lobbying			
EXPENDITURES FOR LOBBYING THIS QUARTER Report only the separately identifiable and measurable expenditures incurred for lobbying purposes			
Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)			\$3,351.37
Travel (Include food, lodging, per diem payments and cost of transportation used)			\$0.00
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation			\$0.00
Consultants Or Other Contractual Services			\$0.00
Total This Quarter			\$3,351.37
Total To Date This Year			\$0.00
CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190.		Name of employee completing report SUSAN PUTZIER	
Signature of agency head GLORIA PAPIEZ		Work telephone Number 360-902-8707 Work E-mail SUSAN.PUTZIER@DFI.WA.GOV	

LOBBYISTS CONTINUATION**L-5**

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Agency or Governmental Entity Name

DEPT. OF FINANCIAL INSTITUTIONS

Report for calendar quarter ending

MAR 2018

Month Year

PERSONS WHO LOBBIED THIS QUARTER

Name	Job Title	Annual Salary	% Time Spent Lobbying
GLORIA PAPIEZ	DIRECTOR	\$143,520.0	0.19%
Bill/WAC # OTHE N/A	Description MET WITH SEN PHIL FORTUNATO FOR A CHECK IN.		

☐ Check if person spent more than \$15 of non-public funds in lobbying.

Name	Job Title	Annual Salary	% Time Spent Lobbying
FAITH ANDERSON	SECURITIES PROGRAM MANAGER	\$107,208.0	0.19%
Bill/WAC # HB 2286	Description LOBBIED REP TIMM ORMSBY - MET WITH REP ORMSBY REGARDING DFI SECURITIES FEE BILL, HB 2286.		

☐ Check if person spent more than \$15 of non-public funds in lobbying.

Name	Job Title	Annual Salary	% Time Spent Lobbying
Bill/WAC #	Description		

☐ Check if person spent more than \$15 of non-public funds in lobbying.

Name	Job Title	Annual Salary	% Time Spent Lobbying
Bill/WAC #	Description		

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Name	Job Title	Annual Salary	% Time Spent Lobbying
Bill/WAC #	Description		

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Name	Job Title	Annual Salary	% Time Spent Lobbying
Bill/WAC #	Description		

☐ Check if person spent more than \$15 of non-public funds in lobbying.

ACTIVITIES CONTINUATION**L-5**

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Agency or Governmental Entity Name

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Name of Lobbyist: CATHERINE MELE-HETTER

Bill/WAC #	Description
HB 2286	LOBBIED REP BILL JENKIN - MET WITH REP JENKIN REGARDING THE DFI SECURITIES FEE BILL, HB 2286.
HB 2286	LOBBIED REP GINA MCCABE - MET WITH REP MCCABE REGARDING THE DFI SECURITIES FEE BILL, HB 2286.
HB 2286	LOBBIED HOUSE COMMITTEE ON BUSINESS & FINANCIAL SERVICES - ATTENDED HEARING AND TESTIFIED IN FAVOR OF THE DFI SECURITIES FEE BILL, HB 2286.
HB 2286	LOBBIED REP STEVE BERGQUIST - MET WITH REP BERGQUIST REGARDING THE DFI SECURITIES FEE BILL, HB 2286.
SB 6024	LOBBIED SEN PHIL FORTUNATO - MET WITH SENATOR FORTUNATO REGARDING THE DFI SECURITIES FEE BILL, SB 6024.
SB 6024	LOBBIED SEN STEVE HOBBS - MET WITH SENATOR HOBBS REGARDING THE DFI SECURITIES FEE BILL, SB 6024.
HB 2286	LOBBIED REP DEREK STANFORD - MET WITH REP STANFORD REGARDING THE DFI SECURITIES FEE BILL, HB 2286.
HB 2286	ATTENDED THE HOUSE COMMITTEE ON BUSINESS & FINANCIAL SERVICES HEARING; COMMITTEE TAKES EXECUTIVE ACTION ON DFI SECURITIES FEE BILL, HB 2286.
SB 6024	LOBBIED SEN JOE FAIN - MET WITH SEN FAIN REGARDING THE DFI SECURITIES FEE BILL, SB 6024.
OTHE N/A	MET WITH REP TINA ORWALL AND THE FORECLOSURE WORK GROUP REGARDING HB 2057.
SB 6029	TESTIFIED AT HEARING OF SENATE COMMITTEE ON HIGHER EDUCATION REGARDING E2SSB 6029, STUDENT LOAN BILL OF RIGHTS. DFI IS NEUTRAL ON THE BILL.
SB 6024	SENATE COMMITTEE ON FINANCIAL INSTITUTIONS, HOUSING & INSURANCE - ATTENDED HEARING ON THE DFI SECURITIES FEE BILL, SB 6024. EXECUTIVE ACTION ALSO
HB 2286	LOBBIED REP J.T. WILCOX - MET WITH REP WILCOX REGARDING THE DFI SECURITIES FEE BILL, HB 2286.
SB 6024	LOBBIED SEN JAN ANGEL - MET WITH SENATOR ANGEL REGARDING THE DFI SECURITIES FEE BILL, SB 6024.
HB 2286	LOBBIED REP STEVE KIRBY - MET WITH REP KIRBY REGARDING THE DFI SECURITIES FEE BILL, HB 2286.
HB 2286	LOBBIED REP LARRY HALER - MET WITH REP HALER REGARDING THE DFI SECURITIES FEE BILL, HB 2286.
HB 2286	LOBBIED REP PAUL GRAVES - MET WITH REP GRAVES REGARDING THE DFI SECURITIES FEE BILL, HB 2286.
HB 2286	LOBBIED REP TIMM ORMSBY - MET WITH REP ORMSBY REGARDING DFI SECURITIES FEE BILL, HB 2286.
HB 2286	LOBBIED REP JOE SCHMICK - MET WITH REP SCHMICK REGARDING THE DFI SECURITIES FEE BILL, HB 2286.
HB 2286	LOBBIED REP DREW STOKESBARY - MET WITH REP STOKESBARY REGARDING THE DFI SECURITIES FEE BILL, HB 2286.

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Name of Lobbyist: CATHERINE MELE-HETTER**Bill/WAC #****Description**

HB 2286	LOBBIED REP BRUCE CHANDLER - MET WITH REP CHANDLER REGARDING THE DFI SECURITIES FEE BILL, HB 2286.
HB 2286	ATTENDED HEARING OF THE HOUSE COMMITTEE ON APPROPRIATIONS REGARDING THE DFI SECURITIES FEE BILL, HB 2286.
OTHE N/A	MET WITH SEN PHIL FORTUNATO FOR A CHECK IN.
HB 2286	LOBBIED REP STEVE KIRBY - MET WITH REP KIRBY REGARDING THE DFI SECURITIES FEE BILL, HB 2286.
SB 6024	ATTENDED HEARING OF THE HOUSE COMMITTEE ON BUSINESS & FINANCIAL SERVICES REGARDING THE DFI SECURITIES FEE BILL, SB 6024.
SB 6024	ATTENDED HEARING OF THE HOUSE COMMITTEE ON BUSINESS & FINANCIAL SERVICES; COMMITTEE TAKES EXECUTIVE ACTION ON THE DFI SECURITIES FEE BILL, SB 6024.
SB 6024	ATTENDED HEARING OF THE HOUSE COMMITTEE ON APPROPRIATIONS REGARDING SB 6024, DFI SECURITIES FEE BILL.
SB 6024	ATTENDED HEARING OF THE HOUSE COMMITTEE ON APPROPRIATIONS; COMMITTEE TAKES EXECUTIVE ACTION ON SB 6024, DFI SECURITIES FEE BILL.
OTHE N/A	MET WITH SEN PATTY KUDERER FOR A GENERAL DFI CHECK-IN.

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Agency or Governmental Entity Name

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Name of Lobbyist: CHARLES CLARK**Bill/WAC #**SB
6029**Description**ATTEND HEARING OF THE HOUSE COMMITTEE ON HIGHER EDUCATION AND TESTIFIED
NEUTRAL ON E2SSB 6029, STUDENT LOAN BILL OF RIGHTS.SB
6029ATTENDED HEARING OF THE HOUSE COMMITTEE ON APPROPRIATIONS AND TESTIFIED
NEUTRAL ON E2SSB 6029, STUDENT LOAN BILL OF RIGHTS, AND EXPLAINED THE FISCAL

ACTIVITIES CONTINUATION**L-5**

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Agency or Governmental Entity Name

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Name of Lobbyist: WILLIAM BEATTY

Bill/WAC #	Description
HB 2286	LOBBIED REP BILL JENKIN - MET WITH REP JENKIN REGARDING THE DFI SECURITIES FEE BILL, HB 2286.
HB 2286	LOBBIED REP GINA MCCABE - MET WITH REP MCCABE REGARDING THE DFI SECURITIES FEE BILL, HB 2286.
HB 2286	LOBBIED HOUSE COMMITTEE ON BUSINESS & FINANCIAL SERVICES - ATTENDED HEARING AND TESTIFIED IN FAVOR OF THE DFI SECURITIES FEE BILL, HB 2286.
HB 2286	LOBBIED REP STEVE BERGQUIST - MET WITH REP BERGQUIST REGARDING THE DFI SECURITIES FEE BILL, HB 2286.
SB 6024	LOBBIED SEN PHIL FORTUNATO - MET WITH SENATOR FORTUNATO REGARDING THE DFI SECURITIES FEE BILL, SB 6024.
SB 6024	LOBBIED SEN STEVE HOBBS - MET WITH SENATOR HOBBS REGARDING THE DFI SECURITIES FEE BILL, SB 6024.
HB 2286	LOBBIED REP DEREK STANFORD - MET WITH REP STANFORD REGARDING THE DFI SECURITIES FEE BILL, HB 2286.
SB 6024	LOBBIED SEN JOE FAIN - MET WITH SEN FAIN REGARDING THE DFI SECURITIES FEE BILL, SB 6024.
SB 6024	LOBBIED SENATE COMMITTEE ON FINANCIAL INSTITUTIONS, HOUSING & INSURANCE - ATTENDED HEARING AND TESTIFIED IN FAVOR OF THE DFI SECURITIES FEE BILL, SB 6024.
HB 2286	LOBBIED REP J.T. WILCOX - MET WITH REP WILCOX REGARDING THE DFI SECURITIES FEE BILL, HB 2286.
SB 6024	LOBBIED SEN JAN ANGEL - MET WITH SENATOR ANGEL REGARDING THE DFI SECURITIES FEE BILL, SB 6024.
HB 2286	LOBBIED REP PAUL GRAVES - MET WITH REP GRAVES REGARDING THE DFI SECURITIES FEE BILL, HB 2286.
HB 2286	LOBBIED REP JOE SCHMICK - MET WITH REP SCHMICK REGARDING THE DFI SECURITIES FEE BILL, HB 2286.
HB 2286	LOBBIED REP DREW STOKESBARY - MET WITH REP STOKESBARY REGARDING THE DFI SECURITIES FEE BILL, HB 2286.
HB 2286	LOBBIED REP BRUCE CHANDLER - MET WITH REP CHANDLER REGARDING THE DFI SECURITIES FEE BILL, HB 2286.
HB 2286	LOBBIED HOUSE COMMITTEE ON APPROPRIATIONS - TESTIFIED IN FAVOR OF THE DFI SECURITIES FEE BILL, HB 2286.
SB 6024	LOBBIED HOUSE COMMITTEE ON BUSINESS & FINANCIAL SERVICES - TESTIFIED IN SUPPORT OF DFI SECURITIES FEE BILL, SB 6024.