

PUBLIC DISCLOSURE COMMISSION 711 CAPITOL WAY RM 206 PO BOX 40908 OLYMPIA WA 98504-0908 (360) 753-1111 TOLL FREE 1-877-601-2828	PDC FORM L-5 <small>(Rev 1/09)</small>	P. 1 LOBBYING BY STATE AND LOCAL GOVERNMENT AGENCIES	
2018-10-16 2817			
Agency or Governmental Entity Name and Address DEPT. OF FINANCIAL INSTITUTIONS PO BOX 41200 OLYMPIA WA 98504-1200	Date prepared 2018-10-16 County THURSTON	Report for calendar quarter ending SEP 2018 Month Year	
PERSONS WHO LOBBIED THIS QUARTER			
Name CHARLES CLARK	Job title DEPUTY/DIRECTOR OF CONSUMER SERVICES	Annual salary \$137,964.0	% of time spent lobbying during quarter 0.29%
Bill/WAC number General description of lobbying activities or objectives OTHE MET WITH REPRESENTATIVE KRAFT AND REPRESENTATIVE VICK REGARDING THE CONCERNS N/A OF A MORTGAGE BROKER ABOUT EXAMINATIONS AND COMMUNICATION BETWEEN DFI AND THE OTHE MET WITH REPRESENTATIVE KRAFT REGARDING PUBLICLY AVAILABLE INFORMATION ABOUT N/A AN ENFORCEMENT ACTION INVOLVING A CONSTITUENT. <input type="checkbox"/> Check if person spent more than \$15 of non-public funds in lobbying			
Name WILLIAM BEATTY	Job title DIRECTOR OF SECURITIES	Annual salary \$129,108.0	% of time spent lobbying during quarter 0.29%
Bill/WAC number General description of lobbying activities or objectives OTHE MET WITH REPRESENTATIVE KRAFT AND REPRESENTATIVE VICK REGARDING THE CONCERNS N/A OF A MORTGAGE BROKER ABOUT EXAMINATIONS AND COMMUNICATION BETWEEN DFI AND THE OTHE MET WITH REPRESENTATIVE KRAFT REGARDING PUBLICLY AVAILABLE INFORMATION ABOUT N/A AN ENFORCEMENT ACTION INVOLVING A CONSTITUENT. <input type="checkbox"/> Check if person spent more than \$15 of non-public funds in lobbying			
Name GLORIA PAPIEZ	Job title DIRECTOR	Annual salary \$143,520.0	% of time spent lobbying during quarter 0.43%
Bill/WAC number General description of lobbying activities or objectives OTHE MET WITH REPRESENTATIVE KIRBY REGARDING 2019 DFI REQUEST LEGISLATION UPDATING N/A TRUST INSTITUTIONS STATUTES. ALSO, PLANS AND STAKEHOLDER WORK FOR 2020 OTHE MET WITH SENATOR MULLET REGARDING 2019 DFI REQUEST LEGISLATION UPDATING TRUST N/A INSTITUTIONS STATUTES. ALSO, PLANS AND STAKEHOLDER WORK FOR 2020 LEGISLATION <input type="checkbox"/> Check if person spent more than \$15 of non-public funds in lobbying			
EXPENDITURES FOR LOBBYING THIS QUARTER Report only the separately identifiable and measurable expenditures incurred for lobbying purposes			
Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)			\$575.29
Travel (Include food, lodging, per diem payments and cost of transportation used)			\$0.00
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation			\$0.00
Consultants Or Other Contractual Services			\$0.00
Total This Quarter			\$575.29
Total To Date This Year			\$0.00
CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190.		Name of employee completing report SUSAN PUTZIER	
Signature of agency head GLORIA PAPIEZ		Work telephone Number 360-902-8707 Work E-mail SUSAN.PUTZIER@DFI.WA.GOV	

LOBBYISTS CONTINUATION**L-5**

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Agency or Governmental Entity Name

DEPT. OF FINANCIAL INSTITUTIONS

Report for calendar quarter ending

SEP 2018

Month Year

PERSONS WHO LOBBIED THIS QUARTER

Name	Job Title	Annual Salary	% Time Spent Lobbying
DREW BOUTON	POLICY DIRECTOR	\$126,156.0	0.72%
Bill/WAC #	Description		
OTHE	MET WITH REPRESENTATIVE KIRBY REGARDING 2019 DFI REQUEST LEGISLATION UPDATING		
N/A	TRUST INSTITUTIONS STATUTES. ALSO, PLANS AND STAKEHOLDER WORK FOR 2020		
OTHE	MET WITH REPRESENTATIVE KRAFT AND REPRESENTATIVE VICK REGARDING THE CONCERNS		
N/A	OF A MORTGAGE BROKER ABOUT EXAMINATIONS AND COMMUNICATION BETWEEN DFI AND THE		

☐ Check if person spent more than \$15 of non-public funds in lobbying.

Name	Job Title	Annual Salary	% Time Spent Lobbying
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Bill/WAC #	Description
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☐ Check if person spent more than \$15 of non-public funds in lobbying.

Name	Job Title	Annual Salary	% Time Spent Lobbying
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Bill/WAC #	Description
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Name	Job Title	Annual Salary	% Time Spent Lobbying
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Bill/WAC #	Description
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Name	Job Title	Annual Salary	% Time Spent Lobbying
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Bill/WAC #	Description
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☐ Check if person spent more than \$15 of non-public funds in lobbying.

Name	Job Title	Annual Salary	% Time Spent Lobbying
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Bill/WAC #	Description
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☐ Check if person spent more than \$15 of non-public funds in lobbying.

ACTIVITIES CONTINUATION**L-5**

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Agency or Governmental Entity Name

DEPT. OF FINANCIAL INSTITUTIONS

Report for calendar quarter ending

SEP 2018

Month Year

Name of Lobbyist: DREW BOUTON**Bill/WAC #**OTHE
N/A**Description**

MET WITH REPRESENTATIVE KRAFT REGARDING PUBLICLY AVAILABLE INFORMATION ABOUT AN ENFORCEMENT ACTION INVOLVING A CONSTITUENT.

OTHE
N/A

MET WITH SENATOR MULLET REGARDING 2019 DFI REQUEST LEGISLATION UPDATING TRUST INSTITUTIONS STATUTES. ALSO, PLANS AND STAKEHOLDER WORK FOR 2020