

PUBLIC DISCLOSURE COMMISSION

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 TOLL FREE 1-877-601-2828

PDC FORM
L-5
 (Rev 1/09)

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**LOBBYING BY STATE AND LOCAL
 GOVERNMENT AGENCIES**

2019-04-17
 3070

Agency or Governmental Entity Name and Address DEPT. OF FINANCIAL INSTITUTIONS PO BOX 41200 OLYMPIA WA 98504-1200	Date prepared 2019-04-17	Report for calendar quarter ending MAR 2019 Month Year
	County THURSTON	

PERSONS WHO LOBBIED THIS QUARTER

Name	Job title	Annual salary	% of time spent lobbying during quarter
CHARLES CLARK	DEPUTY/DIRECTOR OF CONSUMER SERVICES	\$140,724.0	0.58%

Bill/WAC number General description of lobbying activities or objectives
 SB SENATOR DAS - INTRODUCTION TO DFI DIRECTOR AND DEPUTY DIRECTOR; DFI REQUEST
 5107 LEGISLATION UPDATING TRUST INSTITUTIONS STATUTES, SB 5107.
 SB LOBBIED SENATE COMMITTEE ON FINANCIAL INSTITUTIONS, HOUSING & INSURANCE - DFI
 5107 LEGISLATIVE AGENDA, INCLUDING SB 5107, UPDATING TRUST INSTITUTIONS STATUTES.
 Check if person spent more than \$15 of non-public funds in lobbying

Name	Job title	Annual salary	% of time spent lobbying during quarter
GLORIA PAPIEZ	DIRECTOR	\$161,268.0	0.05%

Bill/WAC number General description of lobbying activities or objectives
 SB SENATOR DAS - INTRODUCTION TO DFI DIRECTOR AND DEPUTY DIRECTOR; DFI REQUEST
 5107 LEGISLATION UPDATING TRUST INSTITUTIONS STATUTES, SB 5107.
 Check if person spent more than \$15 of non-public funds in lobbying

Name	Job title	Annual salary	% of time spent lobbying during quarter
JOSEPH VINCENT	DIRECTOR OF REGULATORY & LEGAL	\$128,676.0	0.10%

Bill/WAC number General description of lobbying activities or objectives
 SB SENATE COMMITTEE STAFF CLINT MCCARTHY - MET REGARDING DFI REQUEST LEGISLATION
 5107 UPDATING TRUST INSTITUTIONS STATUTES.
 Check if person spent more than \$15 of non-public funds in lobbying

EXPENDITURES FOR LOBBYING THIS QUARTER

Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$768.36
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$0.00
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$0.00
Consultants Or Other Contractual Services	\$0.00
Total This Quarter	\$768.36
Total To Date This Year	\$0.00

CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190. Signature of agency head CHARLES CLARK	Name of employee completing report SUSAN PUTZIER
	Work telephone Number 360-902-8707 Work E-mail SUSAN.PUTZIER@DFI.WA.GOV

LOBBYISTS CONTINUATION

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Agency or Governmental Entity Name
DEPT. OF FINANCIAL INSTITUTIONS

Report for calendar quarter ending
MAR 2019
Month Year

PERSONS WHO LOBBIED THIS QUARTER

Name	Job Title	Annual Salary	% Time Spent Lobbying
ROBERTA HOLLINSHEAD	DIRECTOR OF BANKS	\$131,688.0	0.58%
Bill/WAC #	Description		
SB 5107	LOBBIED SENATE COMMITTEE ON FINANCIAL INSTITUTIONS, HOUSING & INSURANCE - DFI LEGISLATIVE AGENDA, INCLUDING SB 5107, UPDATING TRUST INSTITUTIONS STATUTES.		
SB 5107	LOBBIED SENATE COMMITTEE ON FINANCIAL INSTITUTIONS, HOUSING & INSURANCE - TESTIFIED AT HEARING REGARDING SB 5107, TRUST INSTITUTIONS.		

Check if person spent more than \$15 of non-public funds in lobbying.

Name	Job Title	Annual Salary	% Time Spent Lobbying
DREW BOUTON	POLICY DIRECTOR	\$128,676.0	0.96%
Bill/WAC #	Description		
SB 5107	SPONSORSHIP OF 2019 DFI REQUEST LEGISLATION UPDATING TRUST INSTITUTIONS STATUTES.		
OTHE N/A	SENATE REPUBLIC CAUCUS STAFF MATT TREMBLE - MET REGARDING 2019 DFI REQUEST LEGISLATION UPDATING TRUST INSTITUTIONS STATUTES, AGENCY OVERVIEW, FINANCIAL		

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Name	Job Title	Annual Salary	% Time Spent Lobbying
AMY HUNTER	DIRECTOR OF CREDIT UNIONS	\$131,688.0	0.05%
Bill/WAC #	Description		
HB 1171	MET WITH REPRESENTATIVE HOFF REGARDING HB 1171, AGENCY REQUEST LEGISLATION UPDATING TRUST INSTITUTIONS STATUTES; AND PLANS AND STAKEHOLDER WORK FOR 2020		

Check if person spent more than \$15 of non-public funds in lobbying.

Name	Job Title	Annual Salary	% Time Spent Lobbying
Bill/WAC #	Description		

Check if person spent more than \$15 of non-public funds in lobbying.

Name	Job Title	Annual Salary	% Time Spent Lobbying
Bill/WAC #	Description		

Check if person spent more than \$15 of non-public funds in lobbying.

Name	Job Title	Annual Salary	% Time Spent Lobbying
Bill/WAC #	Description		

Check if person spent more than \$15 of non-public funds in lobbying.

ACTIVITIES CONTINUATION**L-5**

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Agency or Governmental Entity Name

DEPT. OF FINANCIAL INSTITUTIONS

Report for calendar quarter ending

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Name of Lobbyist: CHARLES CLARK**Bill/WAC #****Description**SB
5107MET WITH SENATOR WILSON REGARDING SB 5107, AGENCY REQUEST LEGISLATION
UPDATING TRUST INSTITUTIONS STATUTES.HB
1171

MET WITH REPRESENTATIVE DFAULT REGARDING HB 1171, TRUST INSTITUTIONS.

HB
1171MET WITH REPRESENTATIVE HOFF REGARDING HB 1171, AGENCY REQUEST LEGISLATION
UPDATING TRUST INSTITUTIONS STATUTES; AND PLANS AND STAKEHOLDER WORK FOR

ACTIVITIES CONTINUATION**L-5**

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Agency or Governmental Entity Name

DEPT. OF FINANCIAL INSTITUTIONS

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Name of Lobbyist: ROBERTA HOLLINSHEAD**Bill/WAC #****Description**HB
1171

LOBBIED HOUSE COMMITTEE ON BUSINESS & FINANCIAL SERVICES - TESTIFIED AT HEARING REGARDING HB 1171, TRUST INSTITUTIONS.

HB
1171

MET WITH REPRESENTATIVE DUFAULT REGARDING HB 1171, TRUST INSTITUTIONS.

HB
1171

MET WITH REPRESENTATIVE HOFF REGARDING HB 1171, AGENCY REQUEST LEGISLATION UPDATING TRUST INSTITUTIONS STATUTES; AND PLANS AND STAKEHOLDER WORK FOR

ACTIVITIES CONTINUATION**L-5**

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Agency or Governmental Entity Name

DEPT. OF FINANCIAL INSTITUTIONS

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Name of Lobbyist: DREW BOUTON

Bill/WAC #	Description
SB 5107	SENATE COMMITTEE STAFF CLINT MCCARTHY - MET REGARDING DFI REQUEST LEGISLATION UPDATING TRUST INSTITUTIONS STATUTES.
HB 1171	MET WITH REPRESENTATIVE KIRBY REGARDING DFI REQUEST LEGISLATION UPDATING TRUST INSTITUTIONS STATUTES, HB 1171.
SB 5107	SENATOR DAS - INTRODUCTION TO DFI DIRECTOR AND DEPUTY DIRECTOR; DFI REQUEST LEGISLATION UPDATING TRUST INSTITUTIONS STATUTES, SB 5107.
SB 5107	MET WITH SENATOR WILSON REGARDING SB 5107, AGENCY REQUEST LEGISLATION UPDATING TRUST INSTITUTIONS STATUTES.
HB 1171	MET WITH REPRESENTATIVE DUFAULT REGARDING HB 1171, TRUST INSTITUTIONS.
HB 1171	MET WITH REPRESENTATIVE REEVES REGARDING HB 1171, TRUST INSTITUTIONS.
HB 1171	MET WITH REPRESENTATIVE HOFF REGARDING HB 1171, AGENCY REQUEST LEGISLATION UPDATING TRUST INSTITUTIONS STATUTES; AND PLANS AND STAKEHOLDER WORK FOR
HB 1171	MET WITH REPRESENTATIVE KIRBY REGARDING AMENDMENT FOR HB 1171.
HB 1171	MET WITH SPEAKER FRANK CHOPP AND HOUSE DEMOCRATIC CAUCUS STAFF ORLANDO CANO REGARDING HB 1171, TRUST INSTITUTIONS.
SB 5107	MET WITH SENATOR MULLET REGARDING SB 5107, TRUST INSTITUTIONS.
SB 5107	MET WITH SENATOR HASEGAWA REGARDING SB 5107, TRUST INSTITUTIONS.
OTHE N/A	MET WITH REPRESENTATIVE ROBINSON REGARDING DFI'S BUDGET.
OTHE N/A	MET WITH SENATOR ROLFES REGARDING DFI'S BUDGET.
HB 1171	MET WITH REPRESENTATIVE REEVES REGARDING HB 1171, TRUST INSTITUTIONS.