

2020-04-23

3623

Agency or Governmental Entity Name and Address  DEPT. OF FINANCIAL INSTITUTIONS PO BOX 41200 OLYMPIA WA 98504-1200	Date prepared 2020-04-23	Report for calendar quarter ending MAR 2020 Month Year
	County THURSTON	

**PERSONS WHO LOBBIED THIS QUARTER**

Name	Job title	Annual salary	% of time spent lobbying during quarter
CHARLES CLARK	DIRECTOR	\$166,104.0	0.58%

Bill/WAC number General description of lobbying activities or objectives  
 SB 6131 MET WITH SENATOR SCHOESLER REGARDING AGENCY REQUEST LEGISLATION, SB 6131 - DEBENTURE COMPANIES, AND SGA 9287, AGENCY DIRECTOR CONFIRMATION.  
 SB 6131 MET WITH SENATOR HASEGAWA REGARDING SB 6131, DEBENTURE COMPANIES, AND SGA 9287, AGENCY DIRECTOR CONFIRMATION.  
 Check if person spent more than \$15 of non-public funds in lobbying

Name	Job title	Annual salary	% of time spent lobbying during quarter
WILLIAM BEATTY	DIRECTOR OF SECURITIES	\$137,808.0	0.29%

Bill/WAC number General description of lobbying activities or objectives  
 SB 6131 TESTIFIED BEFORE THE SENATE COMMITTEE ON FINANCIAL INSTITUTIONS REGARDING AGENCY REQUEST LEGISLATION - SB 6131, DEBENTURE COMPANIES.  
 HB 2407 TESTIFIED BEFORE THE HOUSE COMMITTEE ON CONSUMER PROTECTION AND BUSINESS REGARDING AGENCY REQUEST LEGISLATION - HB 2407, DEBENTURE COMPANIES.  
 Check if person spent more than \$15 of non-public funds in lobbying

Name	Job title	Annual salary	% of time spent lobbying during quarter
DREW BOUTON	POLICY DIRECTOR	\$132,540.0	1.25%

Bill/WAC number General description of lobbying activities or objectives  
 HB 2407 MET WITH REPRESENTATIVE KIRBY'S LEGISLATIVE ASSISTANT REGARDING AGENCY REQUEST LEGISLATION, HB 2407, DEBENTURE COMPANIES.  
 HB 2407 MET WITH REPRESENTATIVE VICK REGARDING HB 2407, DEBENTURE COMPANIES.  
 Check if person spent more than \$15 of non-public funds in lobbying

**EXPENDITURES FOR LOBBYING THIS QUARTER**  
 Report only the separately identifiable and measurable expenditures incurred for lobbying purposes

Salaries Of Persons Who Lobbied (Include only portion of quarterly salary attributable to lobbying)	\$1,042.50
Travel (Include food, lodging, per diem payments and cost of transportation used)	\$0.00
Brochures And Other Publications Whose Principal Purpose Is To Influence Legislation	\$0.00
Consultants Or Other Contractual Services	\$0.00
<b>Total This Quarter</b>	\$1,042.50
<b>Total To Date This Year</b>	\$0.00

<b>CERTIFICATION:</b> I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with RCW 42.17.190.  Signature of agency head CHARLES CLARK	Name of employee completing report SUSAN PUTZIER
	Work telephone Number 360-902-8707  Work E-mail SUSAN.PUTZIER@DFI.WA.GOV

**LOBBYISTS CONTINUATION****L-5** P. 2Agency or Governmental Entity Name  
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MAR 2020  
Month Year**PERSONS WHO LOBBIED THIS QUARTER**

Name	Job Title	Annual Salary	% Time Spent Lobbying
CATHERINE MELE-HETTER	DEPUTY DIRECTOR	\$147,804.0	0.53%
Bill/WAC #	Description		
SB 6131	MET WITH SENATOR SCHOESLER REGARDING AGENCY REQUEST LEGISLATION, SB 6131 - DEBENTURE COMPANIES, AND SGA 9287, AGENCY DIRECTOR CONFIRMATION.		
SB 6131	MET WITH SENATOR WILSON REGARDING SB 6131, DEBENTURE COMPANIES, AND SGA 9287, AGENCY DIRECTOR CONFIRMATION.		

Check if person spent more than \$15 of non-public funds in lobbying.

Name	Job Title	Annual Salary	% Time Spent Lobbying
FAITH ANDERSON	SECURITIES PROGRAM MANAGER	\$130,272.0	0.29%
Bill/WAC #	Description		
SB 6131	TESTIFIED BEFORE THE SENATE COMMITTEE ON FINANCIAL INSTITUTIONS REGARDING AGENCY REQUEST LEGISLATION - SB 6131, DEBENTURE COMPANIES.		
HB 2407	TESTIFIED BEFORE THE HOUSE COMMITTEE ON CONSUMER PROTECTION AND BUSINESS REGARDING AGENCY REQUEST LEGISLATION - HB 2407, DEBENTURE COMPANIES.		

Check if person spent more than \$15 of non-public funds in lobbying.

Name	Job Title	Annual Salary	% Time Spent Lobbying
Bill/WAC #	Description		

Check if person spent more than \$15 of non-public funds in lobbying.

Name	Job Title	Annual Salary	% Time Spent Lobbying
Bill/WAC #	Description		

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Bill/WAC #	Description		

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Name	Job Title	Annual Salary	% Time Spent Lobbying
Bill/WAC #	Description		

Check if person spent more than \$15 of non-public funds in lobbying.

**ACTIVITIES CONTINUATION****L-5**

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Agency or Governmental Entity Name

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**Name of Lobbyist:** CHARLES CLARK**Bill/WAC #****Description**SB  
6131MET WITH SENATOR WILSON REGARDING SB 6131, DEBENTURE COMPANIES, AND SGA  
9287, AGENCY DIRECTOR CONFIRMATION.OTHE  
N/AAGENCY DIRECTOR CONFIRMATION HEARING BEFORE THE SENATE COMMITTEE ON  
FINANCIAL INSTITUTIONS.

**ACTIVITIES CONTINUATION****L-5**

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**Name of Lobbyist:** WILLIAM BEATTY**Bill/WAC #**SB  
6131**Description**TESTIFIED BEFORE THE HOUSE COMMITTEE ON CONSUMER PROTECTION & BUSINESS  
REGARDING SB 6131, DEBENTURE COMPANIES.

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**Name of Lobbyist:** DREW BOUTON

Bill/WAC #	Description
SB 6131	MET WITH SENATOR SCHOESLER REGARDING AGENCY REQUEST LEGISLATION, SB 6131 - DEBENTURE COMPANIES, AND SGA 9287, AGENCY DIRECTOR CONFIRMATION.
SB 6131	MET WITH SENATOR HASEGAWA REGARDING SB 6131, DEBENTURE COMPANIES, AND SGA 9287, AGENCY DIRECTOR CONFIRMATION.
SB 6131	MET WITH SENATOR WILSON REGARDING SB 6131, DEBENTURE COMPANIES, AND SGA 9287, AGENCY DIRECTOR CONFIRMATION.
OTHE N/A	MET WITH REPRESENTATIVE JESSE JOHNSON, PROVIDING AN INTRODUCTION TO DFI.
SB 6131	TESTIFIED BEFORE THE SENATE COMMITTEE ON FINANCIAL INSTITUTIONS REGARDING AGENCY REQUEST LEGISLATION - SB 6131, DEBENTURE COMPANIES.
OTHE N/A	AGENCY DIRECTOR CONFIRMATION HEARING BEFORE THE SENATE COMMITTEE ON FINANCIAL INSTITUTIONS.
HB 2407	TESTIFIED BEFORE THE HOUSE COMMITTEE ON CONSUMER PROTECTION AND BUSINESS REGARDING AGENCY REQUEST LEGISLATION - HB 2407, DEBENTURE COMPANIES.
HB 2407	ATTENDED EXECUTIVE SESSION OF HOUSE COMMITTEE ON CONSUMER PROTECTION & BUSINESS ON HB 2407, DEBENTURE COMPANIES.
SB 6131	ATTENDED EXECUTIVE SESSION OF SENATE COMMITTEE ON FINANCIAL INSTITUTIONS REGARDING SB 6131, DEBENTURE COMPANIES.
SB 6131	TESTIFIED BEFORE THE HOUSE COMMITTEE ON CONSUMER PROTECTION & BUSINESS REGARDING SB 6131, DEBENTURE COMPANIES.

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**Name of Lobbyist:** CATHERINE MELE-HETTER**Bill/WAC #**OTHE  
N/A**Description**AGENCY DIRECTOR CONFIRMATION HEARING BEFORE THE SENATE COMMITTEE ON  
FINANCIAL INSTITUTIONS.

**ACTIVITIES CONTINUATION****L-5**

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**Name of Lobbyist:** FAITH ANDERSON**Bill/WAC #**SB  
6131**Description**TESTIFIED BEFORE THE HOUSE COMMITTEE ON CONSUMER PROTECTION & BUSINESS  
REGARDING SB 6131, DEBENTURE COMPANIES.